

#4
11-20-03
PATENT
CW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sosa, et al.

Serial No.: 09/661,724

Filed: September 14, 2000

Title: TRANSACTION SYSTEM AND
METHOD USING CASH CARDS AND
A CHARGE SETTLEMENT
NETWORK



§ Attorney Docket: NETS:0005

§ Group Art Unit: 2161

§ Examiner: Unassigned

CERTIFICATE OF MAILING

Pursuant to 37 C.F.R. Sec. 1.8, I hereby certify that this paper is being deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 31, 2003.

Deena Beasley
Deena Beasley

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

NOV 12 2003

Dear Sir:

This Information Disclosure Statement is submitted:

GROUP 3600

X under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

— under 37 CFR 1.97(c) together with either a:
— Statement under 37 CFR 1.97(e), or
— a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

— under 37 CFR 1.97(d) together with a:
— Statement under 37 CFR 1.97(e), and
— a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

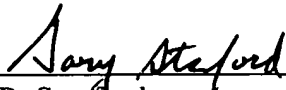
The relevance of the attached references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

Date: October 31, 2003

By:



Gary R. Stanford
Reg. No. 35,689

Law Offices of Gary R Stanford
610 West Lynn
Austin, Texas 78703
Phone: (512) 474-7678
Fax: (512) 477-4080

RECEIVED

NOV 12 2003

GROUP 3600

PTO/SB/08a (06-03)
Approved for use through 07/31/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	09/661,724
Filing Date	September 14, 2000
First Named Inventor	Sosa, et al.
Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	NETS.0005

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,456,984 B1	09-24-2002	Demoff et al.	
		US- 6,505,171 B1	01-07-2003	Cohen et al.	
		US- 6,000,832	12-14-1999	Franklin et al.	
		US- 6,014,650	01-11-2000	Zampese	
		US- 6,324,526 B1	11-27-2001	D'Agostino	
		US- 6,351,739 B1	02-26-2002	Egendorf	
		US- 6,520,409 B1	02-18-2003	Mori et al.	
		US- 6,609,113 B1	08-19-2003	O'Leary et al	
		US- 6,000,608	12-14-1999	Dorf	
		US- 6,189,787 B1	02-20-2001	Dorf	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

RECEIVED

NOV 12 2003

GROUP 3600

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 410149396A	06-02-1998	Sato		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sosa, et al.

Serial No.: 09/661,724

Filed: September 14, 2000

Title: TRANSACTION SYSTEM AND
METHOD USING CASH CARDS AND
A CHARGE SETTLEMENT
NETWORK



§ Attorney Docket: NETS:0005

§ Group Art Unit: 2161

§ Examiner: Unassigned

§
§ CERTIFICATE OF MAILING
§ Pursuant to 37 C.F.R. Sec. 1.8, I hereby certify that this paper
§ is being deposited with the United States Postal Service, as
§ first class mail, in an envelope addressed to: Commissioner
§ for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on
§ October 31, 2003.
§
§ Deena Beasley
§ Deena Beasley

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
NOV 12 2003
GROUP 3600

TRANSMITTAL LETTER

Enclosed for filing in the above-referenced patent application are:

1. Supplemental Information Disclosure Statement;
2. Supplemental Substitute Form 1449A;
3. Copies of references cited on Supplemental Substitute Form 1449A; and
4. Return Receipt Postcard.

No fees are believed to be due in connection with the filing of these materials. If fees are due, the Commissioner is hereby authorized to charge the Deposit Account of Gary R. Stanford, Account No. 50-1469.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Date: October 31, 2003

By:

Gary R. Stanford
Gary R. Stanford
Reg. No. 35,689

Law Offices of Gary R. Stanford
610 West Lynn
Austin, Texas 78703
Phone: (512) 474-7678
Fax: (512) 477-4080